

Docket No. 246428US0CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Takaharu KAWAHARA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: PROCESS FOR PRODUCING ETHYLENE-VINYL ALCOHOL COPOLYMER RESIN, PROCESS FOR PRODUCING PELLETS AND RESIN PELLETS

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were filed in prior application U.S. Serial No. 09/621,271, filed on July 20, 2000, where required, and were cited and/or made of record in the parent application.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

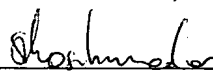
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>246428US0CONT</b>		SERIAL NO. <b>New Application</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Takaharu KAWAHARA, et al.</b>			
				FILING DATE <b>Herewith</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,322,866	06/21/94	MAYER, ET AL.			
	AB	5,948,447	09-07-99	MCHANEY, ET AL.			
	AC	5,118,743	06-02-92	YONEZU, ET AL.			
	AD	5,146,822	09-15-92	NODA, ET AL.			
	AE	5,744,547	04-28-98	MORITANI, ET AL.			
	AF	6,288,165	09-11-01	MORITANI, ET AL.			
	AG	5,866,655	02-02-99	FUJIWARA, ET AL.			
	AH						
	AI						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AJ	0 892 817	01-27-99	EUROPE (corr. U.S. 5,948,447)			
	AK	3-61507	03-18-91	JAPAN (with English Abstract)			
	AL	11-58500	03-02-99	JAPAN (with English Abstract)			
	AM	11-58501	03-02-99	JAPAN (with English Abstract)			
	AN	49-20615	05-25-74	JAPAN (with Partial English Abstract)			
	AO	55-12108	01-28-80	JAPAN (with English Abstract)			
	AP	55-19242	05-24-80	JAPAN (with Partial English Abstract)			
	AQ	57-34148	02-24-82	JAPAN (with English Abstract)			
	AR	64-66262	03-13-89	JAPAN			
	AS	0 892 006	01-20-99	EPO			
	AT	0 930 339	07-21-99	EPO			
	AU	99/05213	02-04-99	WIPO			
	AV	0 408 503 A2	01-16-91	EPO			
	AX	0 937 557 A1	08-25-99	EPO			
	AY	99/12714	03-18-99	WIPO			
	AZ	97/38025	10-16-97	WIPO			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	BA						
	BB						
	BC						
	BD					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							